Client Relationship Summary as of March 30, 2026

QuadCap Wealth Management, LLC (QuadCap or we/us/our) is registered with the Securities and Exchange Commission as an investment adviser and, as such, we provide advisory services rather than brokerage services. Brokerage and investment advisory services and fees differ, and it is important for you, our client, to understand the differences. Free and simple tools are available to research firms and financial professionals at Investor.gov/CRS, which also provides educational materials about broker-dealers, investment advisers, and investing.

What investment services and advice can you provide me?
We offer the following investment advisory services to retail investors: **Asset Management, Financial Planning and Consulting, Selection of Outside Advisers, Tax Preparation and Trustee Services.**

Account Monitoring: If you open an investment account with our firm, as part of our standard service, we will monitor your investments on a monthly basis.

Investment Authority*:* We manage investment accounts on a ***discretionary*** basis whereby **we** will decide which investments to buy or sell for your account. You may limit our discretionary authority (for example, limiting the types of securities that can be purchased or sold for your account) by providing our firm with your restrictions and guidelines in writing. We offer ***non-discretionary*** investment management services whereby we will provide advice, but **you** will ultimately decide which investments to buy and sell for your account.

Investment Offerings: Our investment advice is not limited to a specific menu of products or types of investments. We may advise our clients on any type investment we deem appropriate based on the stated goals and objectives.

Account Minimums and Requirements: We do not have a minimum account size requirement although all accounts are accepted at QuadCap's sole discretion.

Additional Information: For more information regarding our services, fees and other disclosures, please see Items 4, 5 & 7 of our Form ADV, Part 2A, by clicking here or visiting http://adviserinfo.sec.gov.

Key Questions to Ask Your Financial Professional
• **Given my financial situation, should I choose an investment advisory service? Why or Why Not?** • **How will you choose investments to recommend to me?** • **What is your relevant experience, including your licenses, education and other qualifications?** • **What do these qualifications mean?**

What fees will I pay?
The following summarizes the principal fees and costs associated with engaging our firm for investment advisory services.

- **Asset Based Fees** – Payable quarterly in arrears. Since the fees we received are based on the value of your account, we have an incentive to increase your account value which creates a conflict of interest.
- **Fixed Fees** – One half of the estimated fee is due thirty days after the engagement letter is signed, and the balance is due six months thereafter.
- **Trustee Fees** – some of our advisory representatives serve as a trustee for a client's trust. In that capacity, the representative can be paid for serving as trustee according to the trust documents. This fee is in separate and in addition to the advisory fees paid by the client.

Additionally, the following are examples of the most common fees and costs applicable to our clients, which are separate and distinct from our advisory fees:
Advisory fees charged by third party money managers;
- Custodian fees;
- Account maintenance fees;

- Fees related to mutual funds and exchange-traded funds;
- Transaction charges when purchasing or selling securities; and
- Other product-level fees associated with your investments.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying*.* For detailed information, refer to our Form ADV Part 2A, Items 5 and 6 via the following link:

Key Questions to Ask Your Financial Professional

- **Help me understand how these fees and costs might affect my investments.**
- **If I give you $500,000 to invest, how much will go to fees and costs, and how much will be invested for me?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?
*When we act as your investment adviser***,** we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means.

Key Questions to Ask Your Financial Professional

- **How might your conflicts of interest affect me, and how will you address them?**

Our firm makes money by offering products and services to you that we in turn earn money on and therefore have an incentive to sell these products to you. We receive a fee-split from third-party asset managers who we select for clients. This creates an incentive to work with third-party asset managers who offer higher fee splits.

For more information about these conflicts of interest and our policies in place to address them, please se Items 5, 10 and 11 of our Form ADV by clicking here or visiting http://adviserinfo.sec.gov.

How do your financial professionals make money?
Our portfolio managers are consultants and financial professionals. Our professionals earn compensation in the form of cash and salary and may earn higher compensation based on the complexity of the client. If the account is more complex, our professionals will earn more.

Some of our representatives also hold insurance licenses and can received compensation from insurance sales, which may present a conflict of interest as they may have an incentive to sell such products or services based on their own financial gain.

Do you or your financial professionals have legal or disciplinary history?
No. Visit Investor.gov/CRS for a free and simple search tool to research our firm and our financial professionals.

Key Questions to Ask Your Financial Professional

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

You can find additional information about our services and request a copy of the relationship summary by visiting www.quadcapwm.com; emailing at njohnson@quadcapwm.com or calling us at (972) 818-2400..

Key Questions to Ask Your Financial Professional

- **Who is my primary contact person?**
- **Is he or she a representative of an investment adviser or a broker-dealer?**
- **Who can I talk to if I have concerns about how this person is treating me?**

You can find additional information about our investment advisory services by clicking here.

QuadCap Wealth Management
March 30, 2026

Exhibit to Form CRS

QuadCap Wealth Management is required to update its Form CRS when information in the Form CRS becomes materially inaccurate. This Exhibit summarizes the following material changes to the firm's Form CRS, implemented on March 30, 2026:

Form CRS was amended to disclose that QuadCap Wealth Management also offers Tax Preparation and Trustee Services. If an advisory representative serves as trustee for a client's trust, the representative can receive payment for those services which will be separate and in addition to the fees paid by the client for advisory services.