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WHAT DOES QUADCAP WEALTH MANAGEMENT, LLC DO WITH YOUR PERSONAL INFORMATION?

Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
What?	The types of personal information we collect, and share, depend on the product or service you have with us. This information can include: • Social Security Number • Account Balances • Income • Employment Information • Transaction History • Risk Tolerance When you are no longer our customer, we continue to share information about you as described in this notice.
How?	All financial companies need to share client personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client's personal information; the reasons QuadCap Wealth Management chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information?	Does Quad Cap Wealth Management share?	Can you limit this sharing?
For our everyday business purposes – such as to process your transactions, maintain your account(s) or respond to court orders and legal investigations.	Yes	No
For our marketing purposes – to offer our products and services to you	Yes	Yes
For joint marketing with other financial companies	No	Not Applicable
For our affiliates' everyday business purposes - information about your transactions and experiences	No	Not Applicable
For our affiliates' everyday business purposes – information about your creditworthiness	No	Not Applicable
For nonaffiliates to market to you	No	No

WHO ARE WE?

Who is providing this notice?	QuadCap Wealth Management LLC

WHAT WE DO

How does QuadCap Wealth Management protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secure files and buildings.
How does QuadCap Wealth Management collect my personal information?	We collect your personal information in connection with providing advisory services to you. For example, we collect information from: • Applications, forms, and other materials you submit • Your transactions and account activity • Conversations with you (including electronic, telephone, and written communications) • Third parties you authorize (your attorney or accountant)
Why can't I limit all sharing?	Federal law allows you to limit only certain types of information sharing, such as sharing for non-affiliates to market to you. Because we do not share your information with affiliates or non-affiliates for marketing purposes, there are no additional opt-out rights applicable beyond those described above.
What happens when I limit sharing for an account, I hold jointly with someone else?	Your choices will apply to everyone on the account – unless you tell us otherwise.

DEFINITIONS

Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies. • QuadCap Wealth Management has no affiliates
Non-affiliates	Companies not related by common ownership or control. They can be financial and non-financial companies. • QuadCap Wealth Management does not share with non-affiliates for marketing purposes.
Joint Marketing	A formal agreement between non-affiliated financial companies that together market financial products or services to you. • QuadCap Wealth Management does not jointly market.

Depending on your state of residence, you may have additional privacy rights. Please contact us for more information.